


Please type a plus (+) inside this box → 

4-6-01

A

PTO/SB/29 (12/97)

Approved for use through 09/30/00. OMB 065-0032

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

11031 U.S. PTO
04/05/01

UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No.	9885-009-999	Total Pages	70
First Named Inventor or Application Identifier			
K. Yamanouchi			
Express Mail Label No.	EL 501 638 331 US		

APPLICATION ELEMENTS See MPEP chapter 600 concerning utility patent application contents.

- ☒ Fee Transmittal Form
Submit an original, and a duplicate for fee processing
- ☒ Specification [Total Pages 70]
(preferred arrangement set forth below)
 - Descriptive title of the Invention
 - Cross Reference to Related Applications
 - Statement Regarding Fed sponsored R&D
 - Reference to Microfiche Appendix
 - Background of the Invention
 - Brief Summary of the Invention
 - Brief Description of the Drawings *(if filed)*
 - Detailed Description of the Invention *(including drawings, if filed)*
 - Claim(s)
 - Abstract of the Disclosure
- ☒ Drawing(s) (35 USC 113) [Total Sheets 12]
- ☒ Oath or Declaration [Total Sheets 2]
 - ☒ Newly executed (original or copy)
 - ☐ Copy from a prior application (37 CFR 1.63(d))
(for continuation/divisional with Box 17 completed)
[Note Box 5 below]
 - ☐ DELETION OF INVENTORS(S)
Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33 (b).
 - ☐ Incorporation By Reference *(useable if Box 4b is checked)*
The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 4b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.

ADDRESS TO: Assistant Commissioner for Patents
Box Patent Application
Washington, DC 20231

- ☐ Microfiche Computer Program *(Appendix)*
- ☐ Nucleotide and/or Amino Acid Sequence Submission
(if applicable, all necessary)
 - ☐ Computer Readable Copy
 - ☐ Paper Copy *(identical to computer copy)*
 - ☐ Statement verifying identity of above copies


ACCOMPANYING APPLICATION PARTS

- ☒ Assignment Papers (cover sheet & document(s))
- ☐ 37 CFR 3.73(b) Statement ☐ Power of Attorney
(when there is an assignee)
- ☒ English Translation Document *(if applicable)*
- ☒ Information Disclosure Statement (IDS)/PTO-1449 ☒ Copies of IDS Citations
- ☒ Preliminary Amendment
- ☒ Return Receipt Postcard (MPEP 503)
(Should be specifically itemized)
- ☐ Small Entity ☐ Statement filed in prior application, Statement(s) Status still proper and desired
- ☐ Certified Copy of Priority Document(s)
(if foreign priority is claimed)
- ☐ Other:

17. If a **CONTINUING APPLICATION**, check appropriate box and supply the requisite information:
☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No: filed .

18. CORRESPONDENCE ADDRESS

☒ Customer Number or Bar Code Label

20583  (Insert Customer No. or Attach bar code label here)

or ☐ Correspondence address below

NAME					
ADDRESS					
CITY		STATE		ZIP CODE	
COUNTRY		TELEPHONE		FAX	

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application of: K. YAMANOUCHI et al.

For: SPREAD SPECTRUM SIGNAL PROCESSING APPARATUS AND SPREAD
SPECTRUM COMMUNICATION SYSTEM

Attorney Docket No. 9885-009

Commissioner for Patents
Washington, D.C. 20231

Sir:

The following documents are submitted herewith:

1. Utility Patent Application Transmittal and Application Fee Sheet;
2. English translation of PCT international application PCT/JP99/05512
filed October 6, 1999;
3. Declaration And Power Of Attorney;
4. Assignment and Recordation Form Cover Sheet;
5. PCT International Search Report (PCT/ISA/210), in English and
Japanese;
6. Copy of PCT Notice Informing The Applicant Of The Communication
Of The International Application To The Designated Offices
(PCT/IB/308);
7. Copy of PCT International Preliminary Examination Report (Form
PCT/IPEA/409);
8. Copy of PCT Notification Concerning Submission Of Priority
Documents (PCT/IB/304);
9. Record Copy of the PCT Application No. PCT/JP99/05512 (in
Japanese) and Copy of PCT Notice of Receipt of Record Copy
(PCT/IB/301);
10. A Preliminary Amendment; and
11. Information Disclosure Statement and References Cited.

The benefit of the October 6, 1998 priority filing date of Japanese patent application No. JP 10-284450 is claimed under 35 U.S.C. § 119.

Please calculate the filing fee after the entrance of the preliminary amendment.

Respectfully submitted,

Charles E. Miller (Reg. No. 40,414)

Date April 5, 2001

for: Charles E. Miller 24,576
(Reg. No.)

PENNIE & EDMONDS LLP
1155 Avenue of the Americas
New York, New York 10036-2711
(212) 790-9090

Attorney for Applicants

Enclosures

PENNIE & EDMONDS LLP

COUNSELLORS AT LAW
 1155 Avenue of the Americas
 New York, N.Y. 10036-2711
 (212) 790-9090

ATTORNEY DOCKET NO. 9885-009-999Date: April 5, 2001

Assistant Commissioner for Patents
 Box PATENT APPLICATION
 Washington, D.C. 20231

Sir:

The following utility patent application is enclosed for filing:

Applicant(s): K. YAMANOUCHI et al.

Executed on: April 5, 2001

Title of Invention: SPREAD SPECTRUM SIGNAL PROCESSING APPARATUS AND SPREAD SPECTRUM
 COMMUNICATION SYSTEM

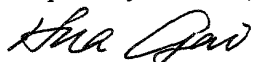
PATENT APPLICATION FEE VALUE

TYPE	NO. FILED	LESS	EXTRA	EXTRA RATE	FEE
Total Claims	16	-20	0	\$18.00 each	\$ 0.00
Independent	3	-3	0	\$80.00 each	\$ 0.00
Minimum Fee					\$ 710.00
Multiple Dependency Fee If Applicable (\$270.00)					\$ 270.00
Total					\$ 980.00
50% Reduction for Independent Inventor, Nonprofit Organization or Small Business Concern (a verified statement as to the applicant's status is attached)					- \$ 0.00
Total Filing Fee					\$ 980.00

- ☐ **DO NOT PUBLISH.** I hereby certify that the invention disclosed in the attached application **has not and will not be** the subject of an application filed in another country, or under a multilateral agreement, that requires publication at eighteen months after filing. I hereby request that the attached application not be published under 35 U.S.C. 122(b).
- ☒ Priority of application no. 10-284,450 filed on October 6, 1998 in Japan is claimed under 35 U.S.C. § 119.
- ☐ The certified copy of the priority application has been filed in application no. filed
- ☐ Amend the specification by inserting before the first line the following sentence: This is a continuation-in-part of application no. filed.

Please charge the required fee to Pennie & Edmonds LLP Deposit Account No. 16-1150. A copy of this sheet is enclosed.

Respectfully submitted,

for: 
 Charles E. Miller
 PENNIE & EDMONDS LLP

(Reg. No. 42,414)
 24,576
 (Reg. No.)

Enclosure

This form is not for use with continuation, divisional, re-issue, design or plant patent applications.